

Top 10 Deficiencies for Assisted Living Homes  
January 1, 2018 through December 31, 2018

**1: Medication Services: R9-10-816.B.3.b. (16GE)**

B. If an assisted living facility provides medication administration, a manager shall ensure that:

3. A medication administered to a resident:

b. Is administered in compliance with a medication order, and

**2: Residency and Residency Agreements: R9-10-807.B.1.a-b (07AJ)**

B. A manager shall ensure that before or at the time of acceptance of an individual, the individual submits documentation that is dated within 90 calendar days before the individual is accepted by an assisted living facility and:

1. If an individual is requesting or is expected to receive supervisory care services, personal care services, or directed care services:

a. Includes whether the individual requires:

i. Continuous medical services,

ii. Continuous or intermittent nursing services, or

iii. Restraints; and

b. Is dated and signed by a:

i. Physician,

ii. Registered nurse practitioner,

iii. Registered nurse, or

iv. Physician assistant; and

**3: Environmental Standards: R9-10-819.A.11. (19CO)**

A. A manager shall ensure that:

11. Poisonous or toxic materials stored by the assisted living facility are maintained in labeled containers in a locked area separate from food preparation and storage, dining areas, and medications and are inaccessible to residents;

**4: Medication Services: R9-10-816.F.1. (16KA)**

F. When medication is stored by an assisted living facility, a manager shall ensure that:

1. Medication is stored in a separate locked room, closet, cabinet, or self-contained unit used only for medication storage;

**5: Emergency and Safety Standards: R9-10-818.A.4. (18BU)**

A. A manager shall ensure that:

4. A disaster drill for employees is conducted on each shift at least once every three months and documented;

**6: Medication Services: R9-10-816.B.3.c. (16GI)**

B. If an assisted living facility provides medication administration, a manager shall ensure that:

3. A medication administered to a resident:

c. Is documented in the resident's medical record.

**7: Medical Records: R9-10-811.C.17. (11GU)**

C. A manager shall ensure that a resident's medical record contains:

17. Documentation of notification of the resident of the availability of vaccination for influenza and pneumonia, according to A.R.S. § 36-406(1)(d);

**Emergency and Safety Standards: R9-10-818.A.2. (18AU)\***

A. A manager shall ensure that:

2. The disaster plan required in subsection (A)(1) is reviewed at least once every 12 months;

Addition to Top 10 List from previous month

\*Equal cite frequency with corresponding Top 10 List number

**8: Personnel: R9-10-806.A.7.a-b. (06DO)**

A. A manager shall ensure that:

7. A manager, a caregiver, and an assistant caregiver, or an employee or a volunteer who has or is expected to have more than eight hours per week of direct interaction with residents, provides evidence of freedom from infectious tuberculosis:

- a. On or before the date the individual begins providing services at or on behalf of the assisted living facility, and
- b. As specified in R9-10-113;

**9: Residency and Residency Agreements: R9-10-807.A.1-2. (07AA)**

A. Except as provided in R9-10-808(B)(2), a manager shall ensure that a resident provides evidence of freedom from infectious tuberculosis:

1. Before or within seven calendar days after the resident's date of occupancy, and
2. As specified in R9-10-113.

**10: Service Plans: R9-10-808.A.5.a. (08DA)**

A. Except as required in subsection (B), a manager shall ensure that a resident has a written service plan that:

5. When initially developed and when updated, is signed and dated by:

- a. The resident or resident's representative;